



1636

| TRANSMITTAL LETTER | | | Case No. 10466-140 |
|---|--------------------------------|-------------------------|------------------------|
| Serial No. 09/944,929 | Filing Date August 31, 2001 | Examiner Nancy Vogel | Group Art Unit 1636 |
| Inventor(s) Kevin P. Baker et al. | | | |
| Title of Invention SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME | | | |

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TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Transmittal letter (in duplicate), Application Data Sheet, return postcard.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a _____ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra |
|---|----------------------------------|-------|---------------------------------|---------------|
| Total | | Minus | | |
| Indep. | | Minus | | |
| First Presentation of Multiple Dep. Claim | | | | |

| Small Entity | | or | Other Than Small Entity | |
|-----------------|-----------|----|-------------------------|-----------|
| Rate | Add'l Fee | | Rate | Add'l Fee |
| x \$9= | | | x \$18= | |
| x 42= | | | x \$84= | |
| + \$140= | | | + \$280= | |
| Total add'l fee | \$ | | Total add'l fee | \$ |

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$_____. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

C. Noel Kaman

C. Noel Kaman
Registration No. 51,857
Attorney for Applicant
Customer No. 28442

BRINKS HOFER GILSON & LIONE
P.O. BOX 10395
CHICAGO, ILLINOIS 60610
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 19 September 2003

Date: 19 September 2003 Signature: C. Noel Kaman



APPLICATION DATA SHEET

APPLICATION INFORMATION:

| | |
|--------------------------------------|---|
| Application Number: | 09/944,929 |
| Filing Date: | 08/31/2001 |
| Application Type: | Regular |
| Subject Matter: | |
| Suggested Classification: | |
| Suggested Group Art Unit: | 1636 |
| CD-ROM or CD-R? | |
| Title: | Secreted and Transmembrane Polypeptides and Nucleic Acids Encoding the Same |
| Attorney Docket Number: | 10466/140; P2548P1C21 |
| Request for Early Publication: | No |
| Request for Non-Publication: | No |
| Suggested Drawing Figure: | N/A |
| Total Drawings Sheets: | 34 |
| Small Entity: | No |
| Petition Included: | No |
| Licensed U.S. Govt. Agency | |
| Secrecy Order in Parent Application: | N/A |

INVENTOR INFORMATION:

| | |
|---------------------------------|---------------------|
| Inventor One Given Name: | Kevin |
| Family Name: | Baker |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City: | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | Darnestown |
| State or Province of Residence: | MD |
| Country or Residence: | US |
| Citizenship Country: | GB |

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|---------------------------------|---------------------|
| Inventor Two Given Name: | David |
| Family Name: | Botstein |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | Belmont |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |

| | |
|---------------------------------|---------------------|
| Inventor Three Given Name: | Dan |
| Family Name: | Eaton |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | San Rafael |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |

| | |
|---------------------------------|---------------------|
| Inventor Four Given Name: | Napoleone |
| Family Name: | Ferrara |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | San Francisco |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |

| | |
|---------------------------------|---------------------|
| Inventor Five Given Name: | Ellen |
| Family Name: | Filvaroff |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | San Francisco |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |

| | |
|---------------------------------|---------------------|
| Inventor Six Given Name: | Mary |
| Family Name: | Gerritsen |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | San Mateo |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | CA |

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|---------------------------------|---------------------|
| Inventor Seven Given Name: | Audrey |
| Family Name: | Goddard |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | San Francisco |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | CA |

| | |
|---------------------------------|---------------------|
| Inventor Eight Given Name: | Paul |
| Family Name: | Godowski |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | Burlingame |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |
| | |
| Inventor Nine Given Name: | J. Christopher |
| Family Name: | Grimaldi |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | San Francisco |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |
| | |
| Inventor Ten Given Name: | Austin |
| Family Name: | Gurney |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | Belmont |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |

| | |
|-----------------------------------|---------------------|
| Inventor Eleven Given Name: | Kenneth |
| Family Name: | Hillan |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | San Francisco |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | GB |
| Inventor Twelve Given Name: | Ivar |
| Family Name: | Kljamin |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | Pacifica |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |
| Inventor Thirteen Given Name: | Mary |
| Family Name: | Napier |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | Hillsborough |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |

| | |
|----------------------------------|---------------------|
| Inventor Fourteen Given Name: | Margaret Ann |
| Family Name: | Roy |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | San Francisco |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |
| Inventor Fifteen Given Name: | Daniel |
| Family Name: | Tumas |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | Orinda |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |
| Inventor Sixteen Given Name: | William |
| Family Name: | Wood |
| Suffix Name: | |
| Mailing Address Line One: | 1 DNA Drive |
| Mailing Address Line Two: | |
| City | South San Francisco |
| State or Province: | CA |
| Postal Zip Code: | 94080 |
| City of Residence: | Hillsborough |
| State or Province of Residence: | CA |
| Country or Residence: | US |
| Citizenship Country: | US |

| | | |
|--|-------------------------------|--------------------|
| CORRESPONDENCE INFORMATION: | | |
| | | |
| Name: | C. Noel Kaman | |
| Street of Mailing Address: | P.O. Box 10395 | |
| City of Mailing Address: | Chicago | |
| State or Province of Mailing Address: | Illinois | |
| Country of Mailing Address: | U.S. | |
| Postal or Zip Code of Mailing Address: | 60610 | |
| Phone Number: | 312-245-5319 | |
| Fax Number: | 312-321-4299 | |
| E-mail Address: | nkaman@brinkshofer.com | |
| Customer Number: | 28442 | |
| | | |
| | | |
| REPRESENTATIVE INFORMATION: | | |
| Representative Designation: | Registration Number: | Name: |
| Primary: | 36,675 | K. Shannon Mrksich |
| Associate: | 51,857 | C. Noel Kaman |
| | | |
| | | |
| DOMESTIC PRIORITY INFORMATION | | |
| This application is a | Continuation of | |
| Application No.: | 09/866,028 | |
| Filing Date: | 05/25/2001 | |
| which is a: | Continuation | |
| Application No. | 09/254,311 | |
| Filing Date: | 03/03/1999 | |
| Which claims priority to | | |
| Application No.: | 60/075,945 | |
| Filing Date: | 02/25/1998 | |
| FOREIGN APPLICATION INFORMATION | | |
| Foreign Application: | PCT/US98/025108 | |
| Filing Date: | 12/01/1998 | |
| Country: | WIPO | |
| Priority Claimed: | <u>Yes</u> or No (Choose One) | |
| | | |
| Foreign Application: | PCT/US99/28301 | |
| Filing Date: | 12/01/1999 | |
| Country: | WIPO | |
| Priority Claimed: | <u>Yes</u> or No (Choose One) | |
| | | |

ASSIGNEE INFORMATION:

| | |
|--|---------------------|
| Assignee Name: | Genentech, Inc. |
| Street of Mailing Address: | 1 DNA Drive |
| City of Mailing Address: | South San Francisco |
| State or Province of Mailing Address: | CA |
| Country of Mailing Address: | US |
| Postal or Zip Code of Mailing Address: | 94080 |